

CHAPTER 16

FINANCIAL STUDIES

Doctoral Theses

134. AHUJA (Sonali)
Investor Protection in the Indian Capital Market.
Supervisor : Prof. Sanjay Sehgal
Th 15669

Abstract

Studies a deeper understanding of the retail investors concerns, problems and needs. Gives an overview of the Indian Capital Market Reforms, Investor Protection and Education provides Regulatory Framework for Investor protection in the US and the lessons for India and empirical results of the survey conducted of the retail individual investors to know their grievances in the Indian Capital Market. Incorporates the analysis of the survey conducted of the other capital market participants such as the brokers credit rating agencies, mutual fund managers and also of the financial regulators SEBI, MCA, Stock Exchanges.

Contents

1. Introduction. 2. The Indian capital market, investor protection and education : An overview. 3. Review of literature. 4. Regulatory framework for investor protection in USA : Lessons for India. 5. Investor protection, education and activism : A survey of retail individual investors in India. 6. Investor protection, education and activism : A survey of other capital market participants and financial regulators. 7. Summary and conclusions. Bibliography and annexures.

135. KAUSHIK (Anjali) NEE ANJALI SHARMA
Information System Planning Practices for Business Network.
Supervisor : Prof. Muneesh Kumar
Th 15668

Abstract

Attempts to address IS planning practices for business networks in the context of Business Network Systems (BNS). The study has gathered and analyzed information on IS planning practices from large-sized Indian companies. Additionally, a few case studies are also conducted to get in-depth insights. The findings attempt to explain the nature of such planning and also provides a comprehensive list of IS planning practices.

Contents

1. Introduction. 2. Theoretical framework for IS planning for business network. 3. IS planning process in a business network. 4. Perception on effectiveness and problems in IS planning for business network. 5. IS planning in a business network : Case studies. 6. Summary of findings and conclusion. Bibliography and appendix.

136. SURI (Mamta)
Merchant Banking in India : An Evaluation.
 Supervisor : Dr. V. K. Vasal
 Th 15805

Abstract

Deals with the role of finance in economic development; the process of financial intermediation; the global financial system and the global macroeconomic policy, overview of the Indian economy, politics for the financial markets, evolving structure of the Indian financial markets. Describes the global economic environment, impact of globalization on both investor and host economies, international markets, history of merchant banking and its evolution against the background of developments across nations; and the framework of the Indian economy with specific reference to the evolution of the financial sector and the developments in the merchant banking industry in India.

Contents

1. Introduction. 2. Merchant banking - A Perspective. 3. Policy environment and structural changes. 4. Research methodology. 5. Raising funds through the primary markets. 6. Corporate restructuring. 7. Loan syndication. 8. Summary and conclusions. Annexures and bibliography.

137. TRIPATHI (Neeta)
Empirical Study of Foreign Institutional Investment in India.
Supervisors : Prof. Sanjay Sehgal and Dr. Nidhi Jain
Th 15829

Abstract

Deals with the possible determinants of FII in India, their impact on stock market characteristics, their investment strategy and presence of home advantage (bias).

Contents

1. Introduction. 2. International capital flows in India : An overview. 3. Review of literature. 4. Determinants of FII flows in India stock market. 5. The impact of FII flows on stock market characteristics. 6. An examination of home advantage (BIAS) argument in financial markets : DFIs Vis-a-Vis FIIs. 7. Investment strategies on FIIs in the Indian equity market. 8. Summary and conclusions. Bibliography.